



# GNIOT GROUP OF INSTITUTIONS

Plot No-7, Knowledge Park-II, Greater Noida

+91-120-232 82 14/15/16 +91-120-232 66 53 <https://www.gniotgroup.edu.in>

## Office of Director-General

Ref.No: GNIOT-GOI/DG/2020-21/ 655

February 10, 2021

### CIRCULAR

### GNIOT-GOI RESEARCH POLICY: PART (A) - INCENTIVE FOR RESEARCHERS

GNIOT-GOI pools renowned academicians, researchers, and intellectuals, thus, aims to contribute to the IP worth of the nation and be recognized as a pioneer research-based academic institute. In continuation of establishing Innovation Centre and other research promoting provisions, the Research Incentive Policy is adopted to further intensify research and innovation across GNIOT Institutions. This part of the Research Policy is designed to encourage faculty members and students for performing quality research work, publishing research papers in renowned journals, and other related activities.

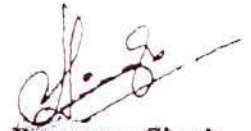
SN	RESEARCH AFFAIRS, PARTICULARS & INCENTIVES																								
I.	PUBLICATIONS																								
	Research Paper(s)/ Article(s)						<i>→ Science Citation Index</i> <i>→ Social Sciences Citation Index</i>																		
CRITERIA/Index-Group →		Only SCI/SSCI				Only Scopus				Both SCI/SSCI & Scopus															
Author's Category →		Corresponding Author	First Author	Second Author	Any Other Author	Corresponding Author	First Author	Second Author	Any Other Author	Corresponding Author	First Author	Second Author	Any Other Author												
Class of Journals/ Impact Factor (IF) ↓																									
No. IF		3000	2500	2000	1000	2500	2000	1500	750	4000	3500	2500	1500												
0.01-1.50		4000	3500	3000	1750	3500	3000	2250	1300	5500	5000	3500	2250												
1.51-3.00		6000	5500	5000	2500	5000	4500	3500	2500	7500	7000	5000	4000												
3.01-4.50		8000	7500	7000	3250	6500	6000	4750	3500	9500	9000	6500	5750												
4.51 & Greater		9500	9000	8500	3800	7500	7000	5500	4000	11000	10000	7000	6000												
Transactions, Journals published by IEEE, ASME, ASCE, ACM, MIT & Others																									
Professional Societies of Equivalent Repute										10000				9000				7000				5000			
Journals of IE(I) or Recognized by UGC										2000				2000				1500				-			
Proceeding of International Conferences (Springer, Scopus Indexed, etc.)										1500				1500				750				-			
Book(s)																									
Published with Renowned International Publishing Houses (ISBN-13)										20000				10000				5000							
Published with Renowned National Publishing Houses (ISBN-13)										7500				4000				2000							
Book-Chapter(s)																									
Published with Renowned International Publishing Houses (ISBN-13)										5000				5000				3000				1000			
Published with Renowned National Publishing Houses (ISBN-13)										2500				2500				1500				750			

2.	<b>SPONSORED PROJECTS</b>			
	Research Projects in Science, Engineering, Humanities & Management	50% of incentive on receiving the grant (as of the amount deposited in GNIOT), and the rest 50% after completion & submission of UC	6% to be distributed among PI & Co-PIs associated with GNIOT-GOs & 10% to be used for advancing the research facilities of the concerned department(s).	
	Sponsored Projects with Societal Relevance		8% to be distributed among PI & Co-PIs associated with GNIOT-GOs & 10% for advancing the research facilities.	
	Instrument Based Collaborative Projects	If the Instrument is shifted to GNIOT-GOI Permanently	1.5% of the Instruments' cost	
If the Instrument is shifted to GNIOT for Project Period Only		0.5% of the Instruments' cost		
3.	<b>CONSULTANCY PROJECTS</b>			
	Research Consultancy	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOs), and the rest 50% after submission of UC	35% for development of research facility, and 65% to be distributed among members of the team involved	
	Design & Testing Consultancy			
4.	<b>SPONSORED EVENTS</b>			
	Conferences, EDR, Workshops, Seminars, and other Curricular, Co-Curricular Events	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOs), and rest 50% after completion & submission of Report	0.5-1.0 Lakh	4000
			1.1-5.0 Lakhs	8000
			> 5.0 Lakhs	3%
Unutilized fund to be used for the professional development of organizing committee members, and advancing of research facilities.				
5.	<b>QUALITY IMPROVING SPECIAL TRAINING PROGRAMS</b>			
	Executive Training	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOs), and rest 50% after completion & submission of UC/ Report	10% amount deposited in GNIOT-GOs.	
	Management Training			
	Faculty Development Advance Training		2000	
6.	<b>IPR &amp; PATENTS (With GNIOT Affiliation &amp; In the Name)</b>			
	Utility-based	Publishing of Patent	5000	
		Granting of Patent	15000	
	Design	Publishing of Patent	2000	
		Granting of Patent	3000	
	Processing Fees & Profit on Commercialization	80% of Processing fees by GNIOT & 20% by the Team	60% of profit to be among members of the team & 40% to GNIOT.	
7.	<b>DEGREE RESEARCH/ PROJECT SUPERVISOR</b>			
	Quality Project for Conferred UG Degree	After evaluation of outcomes (Working, Application for Humankind, Indexing of Thesis, Publication of Research Papers & Patents, etc.) by the constituted committee.	4500	
	Quality Research for Conferred PG Degree		6000	
	Quality Research for Conferred Ph.D. Degree		10000	
8.	<b>HONORS/ AWARDS, FELLOWSHIP, MENTORSHIP AND TRAVEL GRANTS, etc.</b>			
	International Fellowship	Minimum 6 weeks Stay	20000	
	Post-Doctorate-Fellow Mentor	With GNIOT Affiliation	20000 per PDF	
	Research Awards (Received from)	International Private Organization	8000	
		International Public Organization	15000	
		National Private Organization	5000	
		National Public Organization	10000	
	Honors/ Membership (Received from)	International Private Organization	5000	
		International Public Organization	8000	
		National Private Organization	2500	
		National Public Organization	6000	
Leave Travel	> 1.0 Crore (cumulative)	1.5 lakh/ Crore Grant Received		
Paper Citations	For Every 5 Cross Citations	1000		
Membership of Professional Societies	50% of registration fee up to 5000			

9. PARTICIPATION IN EVENTS AND PRESENTATION OF RESEARCH PAPERS & ARTICLES				
	Period of Association with GNIOT (Year)	Registration Fees. %	Travel, %	Boarding & Lodging, %
Presentation of Research Paper(s) at International Conference (To be held in India), Maximum funding up to 4000/ faculty/ academic semester.	> 0.6 - < 1.0	20	0	0
	> 1.0 - < 2.0	30	15	10
	> 2.0	50	25	20
Presentation of Research Paper(s) at International Conference (To be held Abroad), Maximum funding up to 10000/ faculty/ academic semester.	> 0.6 - < 1.0	25	10	0
	> 1.0 - < 2.0	40	20	10
	> 2.0	60	30	20
Presentation of Research Paper(s) at National Conference, Maximum funding up to 2000/ faculty/ academic semester.	> 0.6 - < 1.0	0	0	0
	> 1.0 - < 2.0	20	0	0
	> 2.0	30	10	0
Participation in an International FDP/ Workshop (To be held Abroad), Maximum funding up to 12000/ faculty/ academic semester.	> 0.6 - < 1.0	20	10	0
	> 1.0 - < 2.0	30	20	10
	> 2.0	50	40	20

**Remarks:**

1. Incentives are to be given in Indian Rupees (INR, )
2. For entitlement of incentives, faculty must be associated with GNIOT, at least for the period of 6 months.
3. Individual faculty can claim incentive in any single category of authorship for the same article/ research paper/ book-chapter.
4. Extraordinary cases may be considered after the recommendation of the Research Award Committee and approval from the undersigned.
5. Faculty must apply for the incentives through HOD and Director concerned to the Research Award Committee.



**Prof. Pranveer Singh  
(DIRECTOR-GENERAL)**

**Cc:**

1. Hon'ble Chairman, Vice-Chairman, and members of the worthy Management, for kind information pls.
2. Directors/ Principals for presenting the policy to their faculty and further n.a.
3. Registrar/ Deans / HR HODs/ Faculty Members for compliance and n.a.



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Reference No: Addl-Dean R&D /08

Date: 24<sup>th</sup> August, 2022

Re-circulation of Notice No. GNIOT-GOI/DG/2020-21/655 dated 10<sup>th</sup> February'2021

## NOTICE

### GNIOT RESEARCH POLICY: PART (A) - INCENTIVES FOR RESEARCHERS

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CIRCULAR													
SNo.	RESEARCH AFFAIRS, PARTICULARS & INCENTIVES												
1	PUBLICATIONS												
	Research Paper(s)/ Article(s)												
	CRITERIA	Only SCI/SSCI				Only Scopus				Both SCI/SSCI & Scopus			
	Index- Group												
	Author's Category	Corresponding Author	First Author	Second Author	Any Other Author	Corresponding Author	First Author	Second Author	Any Other Author	Corresponding Author	First Author	Second Author	Any Other Author
	Class of Journals/ Impact Factor (IF)												
	No IF	3000	2500	2000	1000	2500	2000	1500	750	4000	3500	2500	1500
	0.01-1.50	4000	3500	3000	1750	3500	3000	2250	1500	5500	5000	3500	2250
	1.51-3.00	6000	5500	5000	2500	5000	4500	3500	2500	7500	7000	5000	4000

Copy to:

1. Hon'ble Chairman & Vice Chairmans (for their kind information) through Director Office.
2. Director/ Director (QARM) /Deans /HODs /Registrar /HR /System Administrator /Admin/ ERP officer /Librarian / Notice Board.

*Chatterjee*  
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3.01-4.50	8000	7500	7000	3250	6500	6000	4750	3500	9500	9000	6500	5750
4.51 & Greater	9500	9000	8500	3800	7500	7000	5500	4000	11000	10000	7000	6000
Transactions, journals published by IEEE, ASME, ASCE, ACM, MIT & Others Professional Societies of Equivalent Repute									10000	9000	7000	5000
journals of IE(I) of Recognized by UGC									2000	2000	1500	NIL
Proceeding of International Conferences (Springer, Scopus Indexed, etc.)									1500	1500	750	NIL
<b>Book(s)</b>												
Published with Renowned International Publishing Houses (ISBN-13)									20000	10000	5000	
Published with Renowned National Publishing Houses (ISBN-13)									7500	4000	2000	
<b>Book -Chapter(s)</b>												
Published with Renowned International Publishing Houses (ISBN-13)									5000	3000	1000	
Published with Renowned National Publishing Houses (ISBN-13)									2500	1500	750	
<b>2</b>	<b>SPONSORED PROJECTS</b>											
	Research Projects in Science, Engineering, Humanities & Management	50% of incentive on receiving the grant (as of the amount deposited in GNIOT), and the rest 50% after completion & submission of UC					6% to be distributed among PI & Co-PIs associated with GNIOT-GOIs & 10% to be used for advancing the research facilities of the concerned department(s)					
	Research Projects with Societal Relevance						8% to be distributed among PI & Co-PIs associated with GNIOT-GOIs & 10% for advancing the research facilities.					
	Instrument Based Collaborative Projects	If the instrument is shifted to GNIOT-GOI Permanently					5.0% of the Instrument's cost					
		If the instrument is shifted to GNIOT for Project Period Only					0.5% of the Instrument's cost					
<b>3</b>	<b>CONSULTANCY PROJECTS</b>											
	Research Consultancy	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOIs), and the rest 50% after submission of UC					35% for development of research facility, and 65% to be distributed among members of team involved					
	Design & Testing Consultancy											
<b>4</b>	<b>SPONSORED EVENTS</b>											
	Conferences, FDP, Workshops, Seminars, and other Curricular, Co-Curricular Events	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOIs), and rest 50% after completion & submission of Report					0.5-1.0Lakh		4000			
							1.1-5.0Lakh		8000			
							>5.0Lakh		5%			
	Unutilized fund to be used for the professional development of organizing committee members, and advancing of research facilities.											

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2. Director/ Director (QARM) /Deans /HODs /Registrar /HR /System Administrator /Admin/ ERP officer /Librarian / Notice Board.

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5	<b>QUALITY IMPROVING SPECIAL TRAINING PROGRAMS</b>		
	Executive Training	50% of incentive on receiving the grant (as of the amount deposited in GNIOT-GOIs), and rest 50% after completion & submission of UC/Report	10% amount deposited in GNIOT-GOIs.  2000
	Management Training		
	Faculty Development Advance Training		
6	<b>IPR &amp; PATENTS (WITH GNIOT Affiliation &amp; in the NAME)* Refer to Addendum dated 25th April'2022</b>		
	Utility-based	Publishing of Patent	5000
		Granting of Patent	15000
	Design	Publishing of Patent	2000
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	Post-Doctorate-Fellow Mentor	With GNIOT Affiliation	20000 per scholar
	Research Awards (Received from)	Internationally Approved Private Organization	8000
		International Public Organization	15000
		National Approved private Organization	5000
		National public Organization	10000
	Honors/Membership (Received from)	Internationally Approved Private Organization	5000
		International Public Organization	8000
		National private Organization	2500
		National public Organization	6000
	Leave Travel	>1.0 Crore (Cumulative)	1.5Lakh per Crore Received
	Paper Citations	For Every 5 Cross Citations not related to Co-Workers	1000
Membership of professional societies	50% of registration fee up to 5000		
9	<b>PARTICIPATION IN EVENTS AND PRESENTATION OF RESEARCH PAPERS &amp; ARTICLES</b>		

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	Research Awards (Received from)	Internationally Approved Private Organization	8000
		International Public Organization	15000
		National Approved private Organization	5000
		National public Organization	10000
	Honors/Membership (Received form)	Internationally Approved Private Organization	5000
		International Public Organization	8000
		National private Organization	2500
		National public Organization	6000
	Leave Travel	>1.0 Crore (Cumulative)	1.5Lakh per Crore Received
	Paper Citations	For Every 5 Cross Citations not related to Co-Workers	1000
Membership of professional societies	50% of registration fee up to 5000		
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*Udesh*  
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	Period of Association with GNIOT (Year)	Registration Fees,%	Travel,%	Boarding & Lodging,%
Presentation of Research Paper(s) at International conference (To be held in India),Maximum Funding up to 10000/- faculty/academic semester.	>0.5 - <1.0	20	0	0
	>1.0 - <2.0	30	15	10
	>2.0	50	25	20
Presentation of Research Paper(s) at International conference (To be held in Abroad),Maximum Funding up to 50000/- faculty/academic semester.	>0.5 - <1.0	25	10	0
	>1.0 - <2.0	40	20	10
	>2.0	60	30	20
Presentation of Research Paper(s) at National conference, .Maximum Funding up to. 2000/- faculty/academic semester.	>0.5 - <1.0	0	0	0
	>1.0 - <2.0	20	0	0
	>2.0	30	10	0
Participation in an international FDP/Workshop(To be held Abroad),Maximum funding up to 50000/- faculty/academic semester.	>0.5 - <1.0	20	10	0
	>1.0 - <2.0	30	20	10
	>2.0	50	40	20

## Remarks:

1. Incentives are to be given in Indian Rupees (INR,)
2. For entitlement of incentives, faculty must be associated with GNIOT, at least for the period of 6 months.
3. Individual faculty can claim incentive in any single category of authorship for the same article/ research paper/ book-chapter.
4. Extraordinary cases may be considered after the recommendation of the Research Award Committee and approval from the undersigned.
5. Faculty must send their applications for the incentives duly forwarded through respective HODs to the Addl. Dean-R&D, Director of the Research Cell for processing.

  
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Prof. (Dr.) Vaibhav Shrivastava  
Additional Dean-R&D

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Reference No: Addl-Dean R&D /03

Date: 25<sup>th</sup> April, 2022

## NOTICE

Regarding: Addendum to IPR clause of Research Policy vide no. GNIOT-GOI/DG/2020-21/655 at sequence number-6

This has been highlighted by the honorable Director during verbal discussions on assessing the quality of IPR/Patent works reported by faculty/staff members for acknowledging the efforts put in and rewarding the same. The committee recommends the following criteria for assessing the IPR/Patent works:

1. The amount of incentive as declared in the Research Awards policy is applicable for the whole invention and is expected to be distributed in order of contributions mentioned in IPR/patent work terms.
2. In case, IPR/patent works do not have a mention of proportion of contributions from each inventor, the format of research papers as declared in the above-mentioned policy will be applicable for determining the contribution from each inventor in order of their appearance in respective IPR/Patent work.
3. The incentive amount declared for IPR/Patent work in research policy is for **top quality work** in respective field as declared on [www.wipo.org](http://www.wipo.org). In a nutshell, as per existing scenario until further modification, the incentive amount is **100%** for U.S./Japanese/French/British patents, **75%** for German/Chinese /Korean/Swiss patents. For rest all countries, the maximum "**eligible amount**" is 50%.
4. The eligible amount as mentioned at serial number-3 will be further estimated for, a) incentive, and b) 80% processing fee to be borne **based on** the position of GNIOT inventor in the whole group of inventors.
5. The incentive claimed under Patent Grant Category will not be considered under Patent Publish Category.
6. The inventors have to abide and declare the terms and conditions of GNIOT profit claims of 40% for becoming eligible to apply for incentive apart from making GNIOT as Inventor (case by case).

This may please be studied thoroughly before proceeding to register your claims for incentives for IPR/patents.

Prof. (Dr.) Vaibhav Shrivastava

Additional Dean-R&D

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Reference No: Dean R&D /13

Date: 6<sup>th</sup> September, 2022

## NOTICE

**Regarding: Addendum to Book(s) and Book-Chapter (s) clause of Research Policy vide no. GNIOT-GOI/DG/2020-21/655 at sequence number-1**

This notification is the outcome of the discussions between the members of a peer committee comprising management nominee, Director and a few experts regarding the quality of Books and Book Chapters recommended for students learning enrichment compared to extremely poor quality of notes those students usually refer to study just before examinations. GNIOT Research Policy already provides a necessary platform for creating an authentic piece of writing that can be made available for all learners. The committee realized the need of necessary elaboration on the spirit behind acknowledging the efforts put in for such a noble action of writing a book or a book chapter mentioned in the GNIOT Research Policy: The committee recommends the following criteria for assessing the quality of Book(s) and Book-Chapters(s) in addition to pre-declared standards of ISBN-13:

1. The claim for incentive must be accompanied by soft copy (pdf version) of the book chapters or books.
2. The acceptable similarity percentage can be maximum 20% for claim of the award.
3. The published work must cover the curriculum structure of more than 4 state/private universities atleast or the number of copies sold must exceed 1000 minimum as per royalty statement.

This may please be studied thoroughly before proceeding to register your claims for incentives against books and book-chapters.

**Prof. (Dr.) Vaibhav Shrivastava**

**Dean-R&D**

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